

# 91 neg for  
0005 recordPATENT APPLICATION 01-27-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

ATTN: PCT REFUND  
DIVISION

Jasmin WEINERT

Application No.: 10/089,172

Docket No.: 112415

Filed: May 28, 2002-

For: PROCESS AND APPARATUS FOR PRODUCING PLANE-PARALLEL  
PLATELETSREQUEST FOR REFUND TO DEPOSIT ACCOUNTDirector of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached hereto is a copy of a Monthly Statement of Deposit Account (dated June 18, 2002) showing charges of \$130, \$252 and \$280 related to the above-referenced application. These charges are marked with Fee Codes 154, 966 and 968 which are the Patent Office Fees for Oath or Declaration after twenty or thirty months from priority date, claims over twenty and multiple dependent claims. However, the total number of claims is 16, and Preliminary Amendment was filed to remove multiple dependencies and the Declaration surcharge of \$65 was paid on May 28, 2002. A copy of the PCT Transmittal Letter, Declaration, Preliminary Amendment and date stamped postcard receipts are attached hereto

Accordingly, it is respectfully requested that this charge be re-credited to Deposit Account No. 15-0461 and that the Patent Office acknowledge this credit in writing.

\$662

Respectfully submitted,

Adjustment Date: 03/11/2003 RWHITE1  
06/18/2002 MKAYPAGH 00000064 150461 10089172  
01 FC:154 130.00 CR  
02 FC:966 252.00 CR  
03 FC:968 280.00 CR

James A. Oliff  
Registration No. 27,075Thomas J. Pardini  
Registration No. 30,411JAO:TJP/cmm  
Date: June 21, 2002OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400DEPOSIT ACCOUNT USE  
AUTHORIZATIONPlease grant any extension  
necessary for entry;Charge any fee due to our  
Deposit Account No. 15-0461

**Deposit Account Statement**

Requested Statement Month: June 2002  
Deposit Account Number: 150461  
Name: OLIFF & BERRIDGE P L C  
Attention: BARBARA WATTS  
Address: 277 SOUTH WASHINGTON STREET  
City: ALEXANDRIA  
State: VA  
Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
06/18	243	10089172	112415	154	\$130.00
06/18	244	10089172	112415	966	\$252.00
06/18	245	10089172	112415	968	\$280.00

**OLIFF & BERRIDGE, PLC**

ATTORNEYS AT LAW

277 SOUTH WASHINGTON STREET, SUITE 500  
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TELEPHONE: (703) 836-6400

FACSIMILE: (703) 836-2787

E-MAIL: COMMCENTER@OLIFF.COM  
WWW.OLIFF.COM

**FACSIMILE TRANSMISSION COVER SHEET**

October 21, 2002

To: Office of Finance

Fax: 703-308-5077

From: Cody McAtee

Your Ref.: 10/089,172

Our Ref.: 112415

Number of Pages Sent (Including cover sheet): 10

Prepared By: cmm

**Comments:**

We file a Request for Refund on June 21, 2002. I was told that the Request was not received. I am attaching the date-stamped postcard from the PTO, PCT Transmittal submitting the Request, copy of the Request and all of the attachments listed in the Request. Please call me at 703-836-6400 ext. 265 if you have any questions.

Thank you  
Cody McAtee

Sent by:

This facsimile is intended only for the use of the individual or entity named above and may contain privileged or confidential information. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are notified that any review, dissemination, distribution or copying of this facsimile is prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or telephone, and return the facsimile to us by mail at the above address.

**PTO RECEIPT FOR FILING OF PAPERS****The following papers have been filed:**

PCT Trans. Ltr., Req. for Refund, copy of PCT trans. ltr., Pre. Amend., Decl., date-stamped  
postcard receipts

**Name of Applicant:** Jasmin WEINERT**Serial No.:** 10/089,172**Atty. File No.:** 112415**Title (New Cases):****Sender's Initials:** JAO/cmm

29811

**PATENT OFFICE DATE STAMP**

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 112415	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, sec 37 C.F.R.1.5) 10/089,172	
INTERNATIONAL APPLICATION NO. PCT/DE00/02419	INTERNATIONAL FILING DATE July 25, 2000	PRIORITY DATE CLAIMED October 1, 1999	
TITLE OF INVENTION PROCESS AND APPARATUS FOR PRODUCING PLANE-PARALLEL PLATELETS			
APPLICANT FOR DO/EO/US Jasmin WEINERT			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
<b>Items 11. to 16. below concern other document(s) or information included:</b> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> Entitlement to small entity status is hereby asserted. 16. <input checked="" type="checkbox"/> Other items or information: Request for Refund			

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/089,172		INTERNATIONAL APPLICATION NO. PCT/DE00/02419		ATTORNEY'S DOCKET NUMBER 112415	
17. <input type="checkbox"/> The following fees are submitted:  <b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO ....\$890.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$710.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but International search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,040.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	- 20 =		X \$ 18.00	\$	
Independent Claims	- 3 =		X \$ 84.00	\$	
Multiple dependent claim(s)(if applicable)			+ \$280.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
Reduction by 1/2 for filing by small entity, if applicable.				-	\$
<b>SUBTOTAL =</b>				\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	
				Amount to be refunded	\$
				Charged	\$
a. <input type="checkbox"/> Check No. _____ in the amount of \$_____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b> OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320					
Date: <u>June 21, 2002</u>				NAME: James A. Oliff REGISTRATION NUMBER: 27,075	
				NAME: Thomas J. Pardini REGISTRATION NUMBER: 30,411	

**PTO RECEIPT FOR FILING OF PAPERS**

The following papers have been filed:

PCT Trans. Ltr. & Ck 131093 \$65, Decl.

**Name of Applicant:** Jasmin WEINERT

**Serial No.:** 10/089,172

**Atty. File No.:** 112415

**Title (New Cases):**

**Sender's Initials:** JAO/cmm

278/43



**PATENT OFFICE DATE STAMP**

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

112415

U.S. APPLICATION NO.  
(If known, sec 37 C.F.R.1.5)  
10/089,172

INTERNATIONAL APPLICATION NO.  
PCT/DE00/02419

INTERNATIONAL FILING DATE  
July 25, 2000

PRIORITY DATE CLAIMED  
October 1, 1999

TITLE OF INVENTION  
PROCESS AND APPARATUS FOR PRODUCING PLANE-PARALLEL PLATELETS

APPLICANT FOR DO/EO/US  
Jasmin WEINERT

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.23 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☐ Other items or information:



U.S. APPLICATION NO. (If known, see 37  
C.F.R. 1.5) 10/089,172INTERNATIONAL APPLICATION NO.  
PCT/DE00/02419ATTORNEY'S DOCKET NUMBER  
11241517. ☒ The following fees are submitted:**Basic National fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ....\$890.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) .....\$710.00No international preliminary examination fee paid to USPTO  
(37 CFR 1.482) but international search fee paid to USPTO  
(37 CFR 1.445(a)(2)) .....\$740.00Neither international preliminary examination fee (37 CFR  
1.482) nor international search fee (37 CFR 1.445(a)(2))  
paid to USPTO .....\$1,040.00International preliminary examination fee paid to USPTO  
(37 CFR 1.482) and all claims satisfied provisions of PCT  
Article 33(2)-(4) .....\$ 100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**Surcharge of \$130.00 for furnishing the oath or declaration later than  
☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR  
1.492(e)).

Claims	Number Filed	Number Extra	Rate
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Reduction by 1/2 for filing by small entity, if applicable.

**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later  
than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR  
1.492(f)).**TOTAL NATIONAL FEE =**Amount to be  
refunded

Charged

- a. ☒ Check No. 131093 in the amount of \$65.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

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OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320Date: May 28, 2002NAME: James A. Oliff  
REGISTRATION NUMBER: 27,075NAME: Thomas J. Pardini  
REGISTRATION NUMBER: 30,411